

**Title 11--DEPARTMENT OF PUBLIC SAFETY
Division 45--Missouri Gaming Commission
Chapter 5--Conduct of Gaming**

PROPOSED AMENDMENT

11 CSR 45-5.225 Request for Approval of Gaming Devices and Associated Equipment [Approval] and Systems. The commission is amending the title, purpose statement, and sections (1), (2), (4), and (5), replacing section (3), and adding sections (6) and (7).

PURPOSE: This amendment updates the title and the purpose statement, and clarifies existing regulations regarding the approval of gaming devices, associated equipment, and associated systems. This amendment also moves requirements that were incorporated by reference in 11 CSR 45-9.121 to this rule to clarify that these requirements apply to suppliers of all gaming devices, associated equipment, and associated systems.

PURPOSE: This rule establishes the process for requesting approval of gaming devices, associated equipment, and associated systems for use in Missouri. [Suppliers, Class A and Class B licensees are required to provide the documentation listed herein to be considered a valid request. All suppliers and Class A and Class B licensees must receive an authorization letter from the commission for gaming devices and associated equipment, and slot accounting systems to be considered approved for use within the state of Missouri.] This rule also addresses new technology and field trials associated with new technology.

(1) Prior to any new technology being certified for *[the]* **use in** Missouri *[jurisdiction]*, the manufacturer shall consult with the commission to *[assure]* **ensure** such new technology would be compliant with Missouri *[’s]* **rules, regulations, and statutes.**

(2) *[Effective September 30, 2014, the]* **The** commission *[will become]* **is** the sole approval authority for all gaming devices *[and]*, associated equipment, and *[slot accounting]* **associated** systems. *[Suppliers]* **After submitting a request for approval, supplier,** Class A, and Class B licensees must receive an authorization letter from the commission before such gaming **devices, associated** equipment, and *[slot accounting]* **associated** systems are considered “approved” for use in the state of Missouri. **Associated systems include any systems connected to or interfacing with gaming devices.**

[(3) Effective September 30, 2014, gaming equipment and slot accounting systems actively in service at Class B licensees’ facilities will remain in an approved status. Supplier, Class A, or Class B licensees must notify the commission prior to placing any gaming equipment or slot accounting system into service which has been previously certified by an ITL, but was not actively in service in Missouri on September 30, 2014, to be reviewed for approval by the commission. The commission will issue approval for such request, provided such gaming equipment or slot accounting system does not contain any known malfunctions or anomalies.]

(3) Gaming devices, associated equipment, and associated systems shall be tested and certified by a licensed independent testing laboratory (ITL) prior to submitting a request for approval as required in this rule. Gaming devices, associated equipment, and associated systems shall comply with the applicable Gaming Laboratories International (GLI) technical standards (or their equivalent as approved by the commission) and Missouri statutes, regulations, and Minimum Internal Control Standards.

(4) The supplier, Class A, or Class B licensee submitting a request for approval of a gaming device, associated equipment, or *[a slot accounting]* an associated system shall do so through the commission's electronic portal.

(A) All information in the request shall be complete and accurate. Should such request be determined inaccurate, the commission shall be notified immediately. The request shall include the following:

1. ITL's certification documentation;
2. A complete list of hardware and software modifications requested for approval;
3. Test Script version number used by the ITL for testing;
4. Probability Accounting Report (PAR) sheets, if applicable;
5. Documentation describing the installation *[and]*, configuration, and operating procedures;
6. The applicable functionality being requested; and
7. Any additional supplemental documentation clarifying the technology requested for approval

(e.g., white paper).

(B) Additional information may be requested by the commission at any time, including the digital image(s) (critical executable files) of the production version of the device or system.

(5) The commission may make a preliminary, nonbinding determination whether any new gaming device, associated equipment, or *[slot accounting]* associated system meets the Missouri rules, regulations, and statutes. At the commission's sole discretion, the commission may require any new gaming device, associated equipment, or *[slot accounting]* associated system to be tested in a field trial environment(s) at a licensed gaming establishment(s). Each field trial shall be conducted for at least thirty (30) calendar days and no more than one hundred eighty (180) calendar days under terms and conditions that the commission may approve or require. The supplier shall submit a report to the commission every thirty (30) days detailing the performance of the product being tested, exception reports outlining any exception codes triggered, a list of customer complaints and inquiries regarding the performance, and other items as determined by the commission. A field trial may be terminated at any time, in which case the new gaming device, associated equipment, or *[slot accounting]* associated system will not be approved as a result of such field trial.

(6) The licensee manufacturing or offering any new gaming device, associated equipment, or associated system shall be responsible for providing, in a format acceptable to the commission, electronic training modules denoting interrelationships between approved gaming devices and associated hardware/software and the systems. Subject matter expert(s) may be requested for training as determined necessary by the commission. All costs associated with providing personnel and equipment shall be borne by the licensee.

(7) The testing, review, and approval shall be required prior to the implementation of any new gaming device, associated equipment, or associated system. Once implemented, testing, review, and approval shall be required prior to any changes. Testing, review, and approval may be required at any other time the commission deems appropriate.

*AUTHORITY: section 313.004, RSMo [2000] 2016, and section 313.805, RSMo Supp. [2013]2021. * Original rule filed Jan. 30, 2014, effective Sept. 30, 2014. Amended: Filed February 25, 2022.*

PUBLIC COST: This proposed amendment will not cost state agencies or political subdivisions more than five hundred dollars (\$500) in the aggregate.

PRIVATE COST: This proposed amendment will not cost private entities more than five hundred dollars (\$500) in the aggregate.

*NOTICE OF PUBLIC HEARING AND NOTICE TO SUBMIT COMMENTS: Anyone may file a statement in support of or in opposition to this proposed amendment via email to MGCPolicy@mgc.dps.mo.gov, or by mail to the Missouri Gaming Commission, Policy Section, PO Box 1847, Jefferson City, MO 65102. To be considered, comments must be received within thirty (30) days after publication of this notice in the **Missouri Register**. A public hearing is scheduled for May 6, 2022, at 10:00 a.m., in the Missouri Gaming Commission's Hearing Room, 3417 Knipp Drive, Jefferson City, Missouri.*